

## MAKING TIME WITH VECTOR CLOCKS

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https://goshawkdb.io/

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1. Have you played with a NoSQL or NewSQL store?

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Have you played with a NoSQL or NewSQL store?
 Have you deployed a NoSQL or NewSQL store?

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Have you played with a NoSQL or NewSQL store?
 Have you deployed a NoSQL or NewSQL store?
 Have you studied and know their semantics?

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### Jepsen: RethinkDB 2.2.3 reconfiguration

In the previous Jepsen analysis of RethinkDB, we tested single-document reads, writes, and conditional writes, under network partitions and process pauses. RethinkDB did not exhibit any nonlinearizable histories in those tests. However, testing with more aggressive failure modes, on both 2.1.5 and 2.2.3, has uncovered a subtle

### lepsen: MariaDB Galera Cluster

Previously, on Jepsen, we saw Chronos fail to run jobs after a network partition. In this post, we'll see MariaDB Galera Cluster allow transactions to read partially committed state.

Galera Cluster extends MySQL (and MySQL's fork. MariaDB) to clusters of machines, all of which support reads and writes. It uses a group

### lepsen: Elasticsearch 1.5.0

Previously on Jensen we demonstrated stale and dirty reads in MongoDB. In this post, we return to Elasticsearch, which loses data when the network fails, nodes pause, or processes

Nine months ago, in June 2014, we saw Elasticsearch lose both updates and inserted documents during transitive, nontransitive, and even sindle-node network natifions. Since then

### Jepsen: etcd and Consul

In the previous post, we discovered the potential for data loss in RabbitMO clusters. In this oftrequested installation of the Jepsen series, we'll look at etcd: a new contender in the CP coordination service arena. We'll also discuss Consul's findings with Jepsen.

Like Zookeeper, etcd is designed to store small amounts of strongly-consistent state for coordination between services. It evonses a tree

### Strong consistency models

Network partitions are going to happen. Switches, NICs, host hardware, operating systems, disks, virtualization layers, and language runtimes, not



### Photography

lepsen: RethinkDB 2.1.5

In this Jepsen report, we'll verify RethinkDB's support for linearizable operations using majority reads and writes, and explore assorted read and write anomalies when consistency levels are relaxed. This work was funded by RethinkDB, and conducted in accordance with the Jepsen ethics policy.

RethinkDB is an open-source, horizontally scatable document store. Similar to MononDR

#### lepsen: Chronos

Chronos is a distributed task scheduler (cf. cron) for the Mesos cluster management system. In this edition of Jepsen, we'll see how simple network interruptions can permanently disrupt a Chronor+Mercer churter

Chronos relies on Mesos, which has two flavors of node: master nodes, and slave nodes. Ordinarily in Jepsen we'd refer to these as "nrimany" and "secondary" or "leader" and

### lepsen: MongoDB stale reads

In May of 2013, we showed that MonooDB 2.4.3. would lose acknowledged writes at all consistency levels. Every write concern less than MAJORITY loses data by design due to rollbacks-but even WriteConcern.MAJORITY lost acknowledged writes, because when the server encountered a network error, it returned a successful, not a failed, response to the client. Happily, that bug was fixed a few releases later.

### Jepsen: RabbitMQ

RabbitMO is a distributed message queue, and is probably the most popular opensource implementation of the AMOP messaging protocol it supports a wealth of durability. routing, and fanout

### lepsen: Redis redux

In a recent blog post, antirez detailed a new operation in Redis: WAIT. WAIT is proposed as an enhancement to Redis' replication protocol to

### lepsen: Percona XtraDB Cluster

Code

Percona's CTO Vadim Tkachenko wrote a response to my Galera Snapshot Isolation post last week. I think Tkachenko may have misunderstood some of my results, and I'd like to clear those up now. Eve ported the MariaDB tests to Percona XtraDB Cluster, and would like to confirm that using exclusive write locks on all reade of Tkochenko recommende can recov

Ahout

#### lepsen: Aerospike

Previously, on Jepsen, we reviewed Elasticsearch's progress in addressing data-loss bugs during network partitions. Today, we'll see Aerospike 3.5.4, an "ACID database", react violently to a basic partition.

Aerospike is a high-performance, distributed, schema-less, KV store, often deployed in caching, analytics, or ad tech environments, its five-dimensional data model is similar to Biotable

### lepsen: Elasticsearch

This post covers Elasticsearch 1.1.0. In the months since its Flasticsearch



added a comprehensive overview of correctness issues and their progress towards fixing some of these bugs

Previously on Jensen we saw RabbitMO throw

### **Computational techniques in**

Earlier versions of Jepsen found glaring inconsistencies, but missed subtle ones, In particular, Jepsen was not well equipped to distinguish linearizable systems from sequentially or causally consistent ones. When people asked me to analyze systems which claimed to be linearizable, Jepsen could rule out obvious classes of behavior like dronning writes but

### lepsen: Strangeloop Hangout

Since the Strangeloop talks won't be available for a few months, I recorded a new version of the talk as a Google Hangout.

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# First, consistency across THOSE operations is not part of our semantics, so I must do nothing. Second, in order for our semantics to apply, you must be a properly encapsulated transaction, which you are not. Third, these semantics are more what you'd call guidelines than actual rules.

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## ACID

- Atomic: an operation (transaction) either succeeds or aborts completely no partial successes
- Consistent: constraints like uniqueness, foreign keys, etc are honoured

• Durable: flushed to disk before the client can find out the result

## ACID

- Atomic: an operation (transaction) either succeeds or aborts completely no partial successes
- Consistent: constraints like uniqueness, foreign keys, etc are honoured
- Isolation: the degree to which operations in one transaction can observe actions of concurrent transactions
- Durable: flushed to disk before the client can find out the result

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Default isolation levels

- PostgreSQL:
- Oracle 11g:
- MS SQL Server:
- MySQL InnoDB:

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Default isolation levels

- PostgreSQL: Read Committed
- Oracle 11g: Read Committed
- MS SQL Server: Read Committed
- MySQL InnoDB:

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Default isolation levels

- PostgreSQL: Read Committed
- Oracle 11g: Read Committed
- MS SQL Server: Read Committed
- MySQL InnoDB: Repeatable Read

## **ISOLATION LEVELS**



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AS PER WIKIPEDIA

"Snapshot isolation is a guarantee that all reads made in a transaction will see a consistent snapshot of the database and the transaction itself will successfully commit only if no updates it has made conflict with any concurrent updates made since that snapshot."

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AS PER WIKIPEDIA

"Snapshot isolation is a guarantee that all reads made in a transaction will see a consistent snapshot of the database and the transaction itself will successfully commit only if no updates it has made conflict with any concurrent updates made since that snapshot."

Snapshot isolation is called "serializable" mode in Oracle.

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func t2() {
 if y == 0 {
 x = 1
 }
}

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x, y := 0,0 f func t1() {
 if x == 0 {
 y = 1
 }
 y = 1
 Serialized:
 t1 then t2: x:0, y:1

func t2() {
 if y == 0 {
 x = 1
 }
}

func t2() {
 if y == 0 {
 x = 1

} } TROUBLE UP MILL

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func t2() {
 if y == 0 {
 x = 1
 }
}

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y:1 y:0 func t2() { if y == 0 { x = 1 } }

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TROUBLE UP MILL

t1 || t2: x:1, y:1

## Desired Features

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General purpose transactions

## Desired Features

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- General purpose transactions
- Strong serializability

## Desired Features

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- General purpose transactions
- Strong serializability
- Distribution
- Automatic sharding
- Horizontal scalability
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## **ISOLATION LEVELS**



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#### Possibility of Partitions $\implies \neg(\text{Consistency} \land \text{Availability})$

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 Strong serializability requires Consistency, so must sacrifice Availability

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- To achieve Consistency, only accept operations if connected to majority

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- To achieve Consistency, only accept operations if connected to majority
- If cluster size is 2F + 1 then we can withstand no more than F failures

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#### **Reading Values**

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- Strong serializability requires Consistency, so must sacrifice Availability
- To achieve Consistency, only accept operations if connected to majority
- If cluster size is 2F + 1 then we can withstand no more than F failures
- Writes must go to *F* + 1 nodes
- Reads must read from F + 1 nodes and be able to order results

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1. Client submits txn



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- 1. Client submits txn
- 2. Node(s) vote on txn

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- 2. Node(s) vote on txn
- 3. Node(s) reach consensus on txn outcome

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- 4. Client is informed of outcome

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- 2. Node(s) vote on txn
- 3. Node(s) reach consensus on txn outcome
- 4. Client is informed of outcome

Most important thing is all nodes agree on the order of transactions
# TXN PROCESSING IN DISTRIBUTED DATABASES

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- 1. Client submits txn
- 2. Node(s) vote on txn
- 3. Node(s) reach consensus on txn outcome
- 4. Client is informed of outcome

Most important thing is all nodes agree on the order of transactions (focus for the rest of this talk!)



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• Only leader votes on whether txn commits or aborts

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- Only leader votes on whether txn commits or aborts
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- Only leader votes on whether txn commits or aborts
- Therefore leader must know everything
- If leader fails, a new leader will be elected from remaining nodes
- Therefore all nodes must know everything
- Fine for small clusters, but scaling issues when clusters get big



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• Nodes receive txns and must vote on txn outcome and then consensus must be reached (not shown)

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- Clients are responsible for applying an increasing clock value to txns

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- If a client's clock races then it can prevent other clients from getting txns submitted

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- Clients are responsible for applying an increasing clock value to txns
- If a client's clock races then it can prevent other clients from getting txns submitted
- So must be very careful to try and keep clocks running at the same rate
- No possibility to reorder transactions at all to maximise commits



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- ?m receive message m (sender unspecified)
- !m send message m (destination unspecified)
- t3 transaction with id 3
- r[x1] reads x at version 1
- w[y] writes some value to y
- Vx2y1 vector clock with x=2, y=1

$$egin{aligned} V_1 < V_2 & riangleq orall x \in \operatorname{dom}(V_1 \cup V_2) : V_1[x] \leq V_2[x] \ & \wedge \exists y \in \operatorname{dom}(V_1 \cup V_2) : V_1[y] < V_2[y] \end{aligned}$$



?	receive
!	send
t3	txn 3
V V	ector Clock
x0	x at vsn 0
r[x0]	read of x
w[x]	write of x

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#### t2: r[x0]w[y]

?	receive
!	send
t3	txn 3
V '	Vector C <b>l</b> ock
x0	x at vsn 0
r[x0]	read of x
w[x]	write of x

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#### x0; Vx1 y0; Vy1 ?t2 w[y]; !t2 Vy1; Vy2 t1 w[x]w[y] ?t1 w[x]; !t1 Vx1; Vx2 Vx1y2 ?t1 w[y]; !t1 Vy2; Vy3 t2 w[x]w[y] Vx2y1 ?t2 w[x]; !t2 Vx2; Vx3 receive ?t1 Vx1y2; x1; Vx3y2 **?t1 Vx1y2**; y1; Vx1y3 send txn 3 t3 ?t2 Vx2y1; x?; Vx3y2 ?t2 Vx2y1; y?; Vx2y3 I٧ Vector Clock x at vsn 0 1x0 r[x0] read of x

#### 

w[x]

write of x



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x0; Vx1	y0; Vy1	
?t3 w[x]; !t3 Vx1; Vx2	?t1 w[y]; !t1 <mark>Vy1; Vy2</mark>	t1 w[x]w[y] Vx3y1
?t2 w[x]; !t2 Vx2; Vx3	?t3 w[y]; !t3 Vy2; Vy3	t2 w[x] Vx2
?t1 w[x]; !t1 Vx3; Vx4		t3 w[x]w[y] Vx1y2
	?t3	
	?t1 Vx3y1; y3; Vx3y3	? receive
		t3 txn 3
		V Vector Clock
		x0 x at vsn 0
		w[x] write of x

x0; Vx1		y0; Vy1		
?t3 w[x]; !t3 Vx	1; Vx2 ?	t1 w[y]; !t1 Vy1; Vy2	t1 w[x]w[y]	Vx3y1
?t2 w[x]; !t2 Vx	2; Vx3 ?	t3 w[y]; !t3 Vy2; Vy3	t2 w[x]	Vx2
?t1 w[x]; !t1 Vx	<mark>3;</mark> Vx4		t3 w[x]w[y]	Vx1y2
	?	t3 Vx1v2: v3: Vx1v3		
	?	t1 Vx3y1; y3; Vx3y3	?	receive
			: t3	txn 3
			V Ve	ector Clock
			x0	x at vsn 0
		t1 < t3	w[x]	write of x

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x0; Vx1	y0; Vy1	
?t3 w[x]; !t3 Vx1; Vx2	?t1 w[y]; !t1 Vy1; Vy2	t1 w[x]w[y] Vx3y1
?t2 w[x]; !t2 Vx2; Vx3	?t3 w[y]; !t3 Vy2; Vy3	t2 w[x] Vx2
?t1 w[x]; !t1 <mark>Vx3</mark> ; Vx4		t3 w[x]w[y] Vx1y2
?t3 Vx1y2; x3; Vx4y2	?t3 Vx1y2; y3; Vx1y3	
?t2 Vx2; x2; Vx4y2	<mark>?t1 Vx3y1</mark> ; y3; Vx3y3	? receive
?t1 Vx3y1; x1; Vx4y2		t3 txn 3
		V Vector Clock x0 x at vsn 0
	t1 < t3	r[x0] read of x w[x] write of x

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x0; Vx1	y0; Vy1	
?t3 w[x]; !t3 Vx1; Vx2	?t1 w[y]; !t1 Vy1; Vy2	t1 w[x]w[y] Vx3y1
?t2 w[x]; !t2 Vx2; Vx3	?t3 w[y]; !t3 Vy2; Vy3	t2 w[x] Vx2
?t1 w[x]; !t1 Vx3; Vx4		t3 w[x]w[y] Vx1y2
?t3 Vx1y2; x3; Vx4y2	?t3	
?t2 Vx2; x2; Vx4y2	<mark>?t1 Vx3y1</mark> ; y3; Vx3y3	? receive
?t1 Vx3y1; x1; Vx4y2		t3 txn 3
		V Vector Clock
		x0 x at vsn 0
t3 < t2 < t1	t1 < t3	w[x] write of x

### The Dumb Approach Doesn't Work

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Changing state when receiving a txn seems to be a very bad idea

### The Dumb Approach Doesn't Work

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- Changing state when receiving a txn seems to be a very bad idea
- Maybe only change state when receiving the outcome of a vote

### The Dumb Approach Doesn't Work

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- Changing state when receiving a txn seems to be a very bad idea
- Maybe only change state when receiving the outcome of a vote
- And don't vote on txns until we know it's safe to do so

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• Divide time into frames. First half of frame is reads, second half writes.

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- Divide time into frames. First half of frame is reads, second half writes.
- Within a frame, we don't care about order of reads,
- · but all reads must come after writes of previous frame,
- all writes must come after reads of this frame,
- all writes must be totally ordered within the frame must know which write comes last.



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### CALCULATING THE WRITE CLOCK FROM READS

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- Merge all read clocks together
- Add 1 to result for every object that was written by txns in our frame's reads

# CALCULATING THE FRAME WINNER

& Next Frame's Read Clock

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- Partition write results by local clock elem, and within that by txn id
- Each clock inherits missing clock elems from above
- Then sort each partition first by clock (now all same length), then by txn id
- Next frame starts with winner's clock, +1 for all writes

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- Each clock inherits missing clock elems from above
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- Next frame starts with winner's clock, +1 for all writes
- Guarantees no concurrent vector clocks (proof in progress!)
- Many details elided! (deadlock freedom, etc)



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### SHRINKING VECTOR CLOCKS

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• Hardest part of Paxos is garbage collection

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- Hardest part of Paxos is garbage collection
- Need additional messages to determine when Paxos instances can be deleted

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- Hardest part of Paxos is garbage collection
- Need additional messages to determine when Paxos instances can be deleted
- We can use these to also express: You will never see any of these vector clock elems again
- Therefore we can remove matching elems from vector clocks!
- Many more details elided!



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# Vector clocks capture dependencies and causal relationship between transactions
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#### Vector clocks capture dependencies and causal relationship between transactions Plus we always add transactions into the youngest frame

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Vector clocks capture dependencies and causal relationship between transactions Plus we always add transactions into the youngest frame Which gets us Strong Serializability



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#### No leader, so no potential bottleneck

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#### No leader, so no potential bottleneck No wall clocks, so no issues with clock skews

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No leader, so no potential bottleneck No wall clocks, so no issues with clock skews Can separate F from cluster size,

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No leader, so no potential bottleneck No wall clocks, so no issues with clock skews Can separate F from cluster size, Which gets us horizontal scalability



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# Distributed databases are FUN! https://goshawkdb.io/